



**LIST OF MARGINABLE SECURITIES WITH EFFECT FROM 19 JANUARY 2018  
(HK MARKET)**

	<b>Code</b>	<b>Security Name (Sorted by Alphabetical Order)</b>	<b>Group</b>	<b>Valuation Ratio</b>	<b>Price Cap</b>	<b>Marginable Value Cap Per Client</b>
1	1361	361 DEGREES INTERNATIONAL	C	50%	-	-
2	2018	AAC TECHNOLOGIES HOLDINGS IN	C	50%	-	-
3	3383	AGILE GROUP HOLDINGS LTD	B	70%	-	-
4	1288	AGRICULTURAL BANK OF CHINA-H	A	90%	-	-
5	1299	AIA GROUP LTD	A	90%	-	-
6	0753	AIR CHINA LTD-H	A	90%	-	-
7	1060	ALIBABA PICTURES GROUP LTD	C	50%	-	-
8	2600	ALUMINUM CORP OF CHINA LTD-H	B	70%	-	-
9	0347	ANGANG STEEL CO LTD-H	B	70%	-	-
10	0914	ANHUI CONCH CEMENT CO LTD-H	A	90%	-	-
11	0995	ANHUI EXPRESSWAY CO LTD-H	C	50%	-	-
12	2020	ANTA SPORTS PRODUCTS LTD	B	70%	-	-
13	0522	ASM PACIFIC TECHNOLOGY	B	70%	-	-
14	2357	AVICHINA INDUSTRY & TECH-H	B	70%	-	-
15	1958	BAIC MOTOR CORP LTD-H	B	70%	-	-
16	3988	BANK OF CHINA LTD-H	A	90%	-	-
17	1963	BANK OF CHONGQING CO LTD-H	C	50%	-	-
18	3328	BANK OF COMMUNICATIONS CO-H	A	90%	-	-
19	0023	BANK OF EAST ASIA LTD	A	90%	-	-
20	2009	BBMG CORP-H	B	70%	-	-
21	0694	BEIJING CAPITAL INTL AIRPO-H	B	70%	-	-
22	2868	BEIJING CAPITAL LAND LTD-H	C	50%	-	-
23	0392	BEIJING ENTERPRISES HLDGS	A	90%	-	-
24	0371	BEIJING ENTERPRISES WATER GR	A	90%	-	-
25	0579	BEIJING JINGNENG CLEAN ENE-H	C	50%	-	-
26	0588	BEIJING NORTH STAR CO LTD-H	C	50%	-	-
27	1880	BELLE INTERNATIONAL HOLDINGS	B	70%	-	-
28	2388	BOC HONG KONG HOLDINGS LTD	A	90%	-	-
29	1428	BRIGHT SMART SECURITIES AND	C	50%	-	-
30	1114	BRILLIANCE CHINA AUTOMOTIVE	A	90%	-	-
31	1211	BYD CO LTD-H	B	70%	-	-
32	0285	BYD ELECTRONIC INTL CO LTD	B	70%	-	-
33	0341	CAFE DE CORAL HOLDINGS LTD	B	70%	-	-
34	1381	CANVEST ENVIRONMENTAL PROTEC	C	50%	-	-
35	0699	CAR INC	B	70%	-	-
36	0293	CATHAY PACIFIC AIRWAYS	A	90%	-	-
37	1375	CENTRAL CHINA SECURITIES C-H	C	50%	-	-
38	1811	CGN NEW ENERGY HOLDINGS CO L	C	50%	-	-
39	1816	CGN POWER CO LTD-H	A	90%	-	-
40	2778	CHAMPION REIT	B	70%	-	-

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41	0951	CHAOWEI POWER HOLDINGS LTD	C	50%	-	-
42	0606	CHINA AGRI-INDUSTRIES HLDGS	B	70%	-	-
43	3883	CHINA AOYUAN PROPERTY GROUP	C	50%	-	-
44	3983	CHINA BLUECHEMICAL LTD - H	C	50%	-	-
45	1359	CHINA CINDA ASSET MANAGEME-H	A	90%	-	-
46	0998	CHINA CITIC BANK CORP LTD-H	A	90%	-	-
47	1898	CHINA COAL ENERGY CO-H	B	70%	-	-
48	1800	CHINA COMMUNICATIONS CONST-H	A	90%	-	-
49	0552	CHINA COMMUNICATIONS SERVI-H	B	70%	-	-
50	0586	CHINA CONCH VENTURE HOLDINGS	B	70%	-	-
51	0939	CHINA CONSTRUCTION BANK-H	A	90%	-	-
52	3818	CHINA DONGXIANG GROUP CO	C	50%	-	-
53	0670	CHINA EASTERN AIRLINES CO-H	B	70%	-	-
54	6818	CHINA EVERBRIGHT BANK CO L-H	A	90%	-	-
55	0257	CHINA EVERBRIGHT INTL LTD	A	90%	-	-
56	0165	CHINA EVERBRIGHT LTD	A	90%	-	-
57	0506	CHINA FOODS LTD	B	70%	-	-
58	6881	CHINA GALAXY SECURITIES CO-H	A	90%	-	-
59	0384	CHINA GAS HOLDINGS LTD	B	70%	-	-
60	3836	CHINA HARMONY NEW ENERGY AUT	C	50%	-	-
61	0658	CHINA HIGH SPEED TRANSMISSIO	C	50%	-	-
62	3908	CHINA INTERNATIONAL CAPITA-H	B	70%	-	-
63	2039	CHINA INTERNATIONAL MARINE-H	C	50%	-	-
64	0817	CHINA JINMAO HOLDINGS GROUP	B	70%	-	-
65	2128	CHINA LESSO GROUP HOLDINGS L	C	50%	-	-
66	2628	CHINA LIFE INSURANCE CO-H	A	90%	-	-
67	0916	CHINA LONGYUAN POWER GROUP-H	A	90%	-	-
68	1829	CHINA MACHINERY ENGINEERIN-H	B	70%	-	-
69	1317	CHINA MAPLE LEAF EDUCATIONAL	C	50%	-	-
70	0867	CHINA MEDICAL SYSTEM HOLDING	B	70%	-	-
71	2319	CHINA MENGNIU DAIRY CO	A	90%	-	-
72	3968	CHINA MERCHANTS BANK-H	A	90%	-	-
73	0144	CHINA MERCHANTS PORT HOLDING	A	90%	-	-
74	6099	CHINA MERCHANTS SECURITIES-H	B	70%	-	-
75	1988	CHINA MINSHENG BANKING-H	A	90%	-	-
76	0941	CHINA MOBILE LTD	A	90%	-	-
77	3993	CHINA MOLYBDENUM CO LTD-H	B	70%	-	-
78	3323	CHINA NATIONAL BUILDING MA-H	B	70%	-	-
79	1893	CHINA NATIONAL MATERIALS - H	C	50%	-	-
80	2883	CHINA OILFIELD SERVICES-H	B	70%	-	-

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81	0081	CHINA OVERSEAS GRAND OCEANS	B	70%	-	-
82	0688	CHINA OVERSEAS LAND & INVEST	A	90%	-	-
83	2601	CHINA PACIFIC INSURANCE GR-H	A	90%	-	-
84	0386	CHINA PETROLEUM & CHEMICAL-H	A	90%	-	-
85	2380	CHINA POWER INTERNATIONAL	B	70%	-	-
86	1186	CHINA RAILWAY CONSTRUCTION-H	A	90%	-	-
87	0390	CHINA RAILWAY GROUP LTD-H	A	90%	-	-
88	3969	CHINA RAILWAY SIGNAL & COM-H	C	50%	-	-
89	1508	CHINA REINSURANCE GROUP CO-H	B	70%	-	-
90	0291	CHINA RESOURCES BEER HOLDIN	B	70%	-	-
91	1313	CHINA RESOURCES CEMENT	B	70%	-	-
92	1193	CHINA RESOURCES GAS GROUP LT	A	90%	-	-
93	1109	CHINA RESOURCES LAND LTD	A	90%	-	-
94	3320	CHINA RESOURCES PHARMACEUTIC	C	50%	-	-
95	1515	CHINA RESOURCES PHOENIX HEAL	C	50%	-	-
96	0836	CHINA RESOURCES POWER HOLDIN	A	90%	-	-
97	1088	CHINA SHENHUA ENERGY CO-H	A	90%	-	-
98	1668	CHINA SOUTH CITY HOLDINGS	B	70%	-	-
99	1055	CHINA SOUTHERN AIRLINES CO-H	B	70%	-	-
100	3311	CHINA STATE CONSTRUCTION INT	A	90%	-	-
101	0966	CHINA TAIPING INSURANCE HOLD	A	90%	-	-
102	0728	CHINA TELECOM CORP LTD-H	A	90%	-	-
103	0570	CHINA TRADITIONAL CHINESE ME	B	70%	-	-
104	0308	CHINA TRAVEL INTL INV HK	B	70%	-	-
105	0762	CHINA UNICOM HONG KONG LTD	A	90%	-	-
106	2202	CHINA VANKE CO LTD-H	A	90%	-	-
107	0855	CHINA WATER AFFAIRS GROUP	C	50%	-	-
108	3669	CHINA YONGDA AUTOMOBILES SER	C	50%	-	-
109	1728	CHINA ZHENG TONG AUTO SERVICE	C	50%	-	-
110	0354	CHINASOFT INTERNATIONAL LTD	C	50%	-	-
111	0127	CHINESE ESTATES HOLDINGS LTD	C	50%	-	-
112	1111	CHONG HING BANK LTD	C	50%	-	-
113	3618	CHONGQING RURAL COMMERCIAL-H	B	70%	-	-
114	1929	CHOW TAI FOOK JEWELLERY GROU	B	70%	-	-
115	0884	CIFI HOLDINGS GROUP CO LTD	B	70%	-	-
116	3899	CIMC ENRIC HOLDINGS LTD	C	50%	-	-
117	0267	CITIC LTD	A	90%	-	-
118	6030	CITIC SECURITIES CO LTD-H	A	90%	-	-
119	1883	CITIC TELECOM INTERNATIONAL	C	50%	-	-
120	1113	CK ASSET HOLDINGS LTD	A	90%	-	-

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121	0001	CK HUTCHISON HOLDINGS LTD	A	90%	-	-
122	1038	CK INFRASTRUCTURE HOLDINGS L	A	90%	-	-
123	0002	CLP HOLDINGS LTD	A	90%	-	-
124	0883	CNOOC LTD	A	90%	-	-
125	0182	CONCORD NEW ENERGY GROUP LTD	C	50%	-	-
126	2866	COSCO SHIPPING DEVELOPMENT-H	B	70%	-	-
127	1138	COSCO SHIPPING ENERGY TRAN-H	B	70%	-	-
128	1919	COSCO SHIPPING HOLDINGS CO-H	B	70%	-	-
129	1199	COSCO SHIPPING PORTS LTD	A	90%	-	-
130	2007	COUNTRY GARDEN HOLDINGS CO	B	70%	-	-
131	1766	CRRC CORP LTD - H	A	90%	-	-
132	6066	CSC FINANCIAL CO LTD-H	B	60%	-	-
133	1093	CSPC PHARMACEUTICAL GROUP LT	B	70%	-	-
134	0317	CSSC OFFSHORE & MARINE ENG-H	C	50%	-	-
135	1828	DAH CHONG HONG	C	50%	-	-
136	2356	DAH SING BANKING GROUP LTD	B	70%	-	-
137	0440	DAH SING FINANCIAL HOLDINGS	B	70%	-	-
138	3799	DALI FOODS GROUP CO LTD	B	70%	-	-
139	0991	DATANG INTL POWER GEN CO-H	B	70%	-	-
140	3958	DFZQ-H	C	50%	-	-
141	0861	DIGITAL CHINA HOLDINGS LTD	C	50%	-	-
142	1072	DONGFANG ELECTRIC CORP LTD-H	C	50%	-	-
143	0489	DONGFENG MOTOR GRP CO LTD-H	A	90%	-	-
144	0717	EMPEROR CAPITAL GROUP LTD	C	50%	-	-
145	2688	ENN ENERGY HOLDINGS LTD	B	70%	-	-
146	6178	EVERBRIGHT SECURITIES CO L-H	C	50%	-	-
147	0035	FAR EAST CONSORTIUM INTERNAT	C	50%	-	-
148	3360	FAR EAST HORIZON LTD	B	70%	-	-
149	2038	FIH MOBILE LTD	B	70%	-	-
150	0142	FIRST PACIFIC CO	C	50%	-	-
151	0778	FORTUNE REIT	B	70%	-	-
152	0656	FOSUN INTERNATIONAL LTD	A	90%	-	-
153	0546	FUFENG GROUP LTD	C	50%	-	-
154	0027	GALAXY ENTERTAINMENT GROUP L	A	90%	-	-
155	3800	GCL-POLY ENERGY HOLDINGS LTD	B	70%	-	-
156	0175	GEELY AUTOMOBILE HOLDINGS LT	A	90%	-	-
157	1776	GF SECURITIES CO LTD-H	A	90%	-	-
158	0709	GIORDANO INTERNATIONAL LTD	C	50%	-	-
159	0787	GLOBAL BRANDS GROUP HOLDING	C	50%	-	-
160	0493	GOME RETAIL HOLDINGS LTD	B	70%	-	-

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161	0041	GREAT EAGLE HOLDINGS LTD	B	70%	-	-
162	2333	GREAT WALL MOTOR COMPANY-H	A	90%	-	-
163	0468	GREATVIEW ASEPTIC PACKAGING	C	50%	-	-
164	3900	GREENTOWN CHINA HOLDINGS	B	70%	-	-
165	0270	GUANGDONG INVESTMENT LTD	A	90%	-	-
166	0525	GUANGSHEN RAILWAY CO LTD-H	B	70%	-	-
167	2238	GUANGZHOU AUTOMOBILE GROUP-H	A	90%	-	-
168	0874	GUANGZHOU BAIYUNSHAN PHARM-H	B	70%	-	-
169	2777	GUANGZHOU R&F PROPERTIES - H	C	50%	-	-
170	0053	GUOCO GROUP LTD	C	50%	-	-
171	1788	GUOTAI JUNAN INTERNATIONAL	B	70%	-	-
172	2611	GUOTAI JUNAN SECURITIES CO-H	B	70%	-	-
173	1169	HAIER ELECTRONICS GROUP CO	B	70%	-	-
174	1882	HAITIAN INTERNATIONAL HLDGS	C	50%	-	-
175	0665	HAITONG INTERNATIONAL SECURI	A	90%	-	-
176	6837	HAITONG SECURITIES CO LTD-H	A	90%	-	-
177	0010	HANG LUNG GROUP LTD	B	70%	-	-
178	0101	HANG LUNG PROPERTIES LTD	A	90%	-	-
179	0011	HANG SENG BANK LTD	A	90%	-	-
180	2828	HANG SENG H-SHARE IND ETF-HK	B	70%	-	-
181	1133	HARBIN ELECTRIC CO LTD-H	C	50%	-	-
182	1112	HEALTH AND HAPPINESS H&H INT	C	50%	-	-
183	0012	HENDERSON LAND DEVELOPMENT	A	90%	-	-
184	1044	HENGAN INTL GROUP CO LTD	A	90%	-	-
185	2638	HK ELECTRIC INVESTMENTS -SS	B	70%	-	-
186	0480	HKR INTERNATIONAL LTD	C	50%	-	-
187	6823	HKT TRUST AND HKT LTD-SS	B	70%	-	-
188	0003	HONG KONG & CHINA GAS	A	90%	-	-
189	0388	HONG KONG EXCHANGES & CLEAR	A	90%	-	-
190	0045	HONGKONG & SHANGHAI HOTELS	B	70%	-	-
191	0737	HOPEWELL HIGHWAY INFRASTRUCT	B	70%	-	-
192	0054	HOPEWELL HOLDINGS LTD	B	70%	-	-
193	0005	HSBC HOLDINGS PLC	A	90%	-	-
194	0336	HUABAO INTERNATIONAL HOLDING	C	50%	-	-
195	0816	HUADIAN FUXIN ENERGY CORP -H	B	70%	-	-
196	1071	HUADIAN POWER INTL CORP-H	B	70%	-	-
197	0902	HUANENG POWER INTL INC-H	A	90%	-	-
198	0958	HUANENG RENEWABLES CORP-H	B	70%	-	-
199	6886	HUATAI SECURITIES CO LTD-H	A	90%	-	-
200	3698	HUIZHANG BANK CORP LTD-H	C	50%	-	-

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201	0215	HUTCHISON TELECOMM HONG KONG	B	70%	-	-
202	0014	HYSAN DEVELOPMENT CO	B	70%	-	-
203	1398	IND & COMM BK OF CHINA-H	A	90%	-	-
204	0177	JIANGSU EXPRESS CO LTD-H	B	70%	-	-
205	0358	JIANGXI COPPER CO LTD-H	B	70%	-	-
206	0179	JOHNSON ELECTRIC HOLDINGS	B	70%	-	-
207	0173	K WAH INTL HOLDINGS	B	70%	-	-
208	0636	KERRY LOGISTICS NETWORK LTD	B	70%	-	-
209	0683	KERRY PROPERTIES LTD	B	70%	-	-
210	0148	KINGBOARD CHEMICAL HOLDINGS	B	70%	-	-
211	1888	KINGBOARD LAMINATES HLDG LTD	B	70%	-	-
212	0268	KINGDEE INTERNATIONAL SFTWR	C	50%	-	-
213	3888	KINGSOFT CORP LTD	B	70%	-	-
214	0135	KUNLUN ENERGY CO LTD	B	70%	-	-
215	1813	KWG PROPERTY HOLDING LTD	B	70%	-	-
216	2314	LEE & MAN PAPER MANUFACTURIN	B	70%	-	-
217	3396	LEGEND HOLDINGS CORP-H	B	70%	-	-
218	0992	LENOVO GROUP LTD	A	90%	-	-
219	0494	LI & FUNG LTD	A	90%	-	-
220	2331	LI NING CO LTD	C	50%	-	-
221	1212	LIFESTYLE INTL HLDGS LTD	C	50%	-	-
222	0823	LINK REIT	A	90%	-	-
223	0973	L'OCCITANE INTERNATIONAL SA	C	50%	-	-
224	3380	LOGAN PROPERTY HOLDINGS CO L	C	50%	-	-
225	0960	LONGFOR PROPERTIES	B	70%	-	-
226	3339	LONKING HOLDINGS LTD	C	50%	-	-
227	0590	LUK FOOK HOLDINGS INTL LTD	B	70%	-	-
228	2186	LUYE PHARMA GROUP LTD	C	50%	-	-
229	0323	MAANSHAN IRON & STEEL-H	B	70%	-	-
230	1680	MACAU LEGEND DEVELOPMENT LTD	C	50%	-	-
231	0945	MANULIFE FINANCIAL CORP	B	70%	-	-
232	0200	MELCO INTERNATIONAL DEVELOP.	B	70%	-	-
233	1618	METALLURGICAL CORP OF CHIN-H	B	70%	-	-
234	2282	MGM CHINA HOLDINGS LTD	B	70%	-	-
235	0425	MINTH GROUP LTD	B	70%	-	-
236	0066	MTR CORP	A	90%	-	-
237	1336	NEW CHINA LIFE INSURANCE C-H	A	90%	-	-
238	0017	NEW WORLD DEVELOPMENT	A	90%	-	-
239	2689	NINE DRAGONS PAPER HOLDINGS	B	70%	-	-
240	0659	NWS HOLDINGS LTD	A	90%	-	-

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241	0316	ORIENT OVERSEAS INTL LTD	B	70%	-	-
242	1382	PACIFIC TEXTILES HOLDINGS	C	50%	-	-
243	0686	PANDA GREEN ENERGY GROUP LTD	C	50%	-	-
244	0327	PAX GLOBAL TECHNOLOGY LTD	C	50%	-	-
245	0008	PCCW LTD	A	90%	-	-
246	1339	PEOPLE'S INSURANCE CO GROU-H	A	90%	-	-
247	0857	PETROCHINA CO LTD-H	A	90%	-	-
248	2328	PICC PROPERTY & CASUALTY-H	A	90%	-	-
249	2318	PING AN INSURANCE GROUP CO-H	A	90%	-	-
250	0119	POLY PROPERTY GROUP CO LTD	B	70%	-	-
251	1658	POSTAL SAVINGS BANK OF CHI-H	A	90%	-	-
252	0006	POWER ASSETS HOLDINGS LTD	A	90%	-	-
253	1913	PRADA S.P.A.	B	70%	-	-
254	1122	QINGLING MOTORS CO LTD-H	C	50%	-	-
255	1337	RAZER INC	I	60%	-	-
256	1528	RED STAR MACALLINE GROUP C-H	C	50%	-	-
257	0078	REGAL HOTELS INTL HLDGS LTD	C	50%	-	-
258	1098	ROAD KING INFRASTRUCTURE LTD	C	50%	-	-
259	0178	SA SA INTERNATIONAL HLDGS	B	70%	-	-
260	1910	SAMSONITE INTERNATIONAL SA	B	70%	-	-
261	1928	SANDS CHINA LTD	A	90%	-	-
262	0981	SEMICONDUCTOR MANUFACTURING	B	70%	-	-
263	1066	SHANDONG WEIGAO GP MEDICAL-H	B	70%	-	-
264	2727	SHANGHAI ELECTRIC GRP CO L-H	B	70%	-	-
265	0363	SHANGHAI INDUSTRIAL HLDG LTD	A	90%	-	-
266	0563	SHANGHAI INDUSTRIAL URBAN DE	C	50%	-	-
267	2006	SHANGHAI JIN JIANG INTL HO-H	C	50%	-	-
268	2607	SHANGHAI PHARMACEUTICALS-H	B	70%	-	-
269	0069	SHANGRI-LA ASIA LTD	B	70%	-	-
270	0218	SHENWAN HONGYUAN HK LTD	C	50%	-	-
271	0548	SHENZHEN EXPRESSWAY CO-H	B	70%	-	-
272	0152	SHENZHEN INTL HOLDINGS	B	70%	-	-
273	0604	SHENZHEN INVESTMENT LTD	B	70%	-	-
274	2313	SHENZHOU INTERNATIONAL GROUP	B	70%	-	-
275	0813	SHIMAO PROPERTY HOLDINGS LTD	B	70%	-	-
276	0272	SHUI ON LAND LTD	B	70%	-	-
277	0242	SHUN TAK HOLDINGS LTD	B	70%	-	-
278	0107	SICHUAN EXPRESSWAY CO-H	C	50%	-	-
279	0460	SIHUAN PHARMACEUTICAL HLDGS	C	50%	-	-
280	1177	SINO BIOPHARMACEUTICAL	B	70%	-	-

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**LIST OF MARGINABLE SECURITIES WITH EFFECT FROM 19 JANUARY 2018  
(HK MARKET)**

	<b>Code</b>	<b>Security Name (Sorted by Alphabetical Order)</b>	<b>Group</b>	<b>Valuation Ratio</b>	<b>Price Cap</b>	<b>Marginable Value Cap Per Client</b>
281	0083	SINO LAND CO	A	90%	-	-
282	0297	SINOFERT HOLDINGS LTD	C	50%	-	-
283	3377	SINO-OCEAN GROUP HOLDING LTD	B	70%	-	-
284	2386	SINOPEC ENGINEERING GROUP-H	B	70%	-	-
285	0934	SINOPEC KANTONS HOLDINGS	C	50%	-	-
286	1033	SINOPEC OILFIELD SERVICE -H	C	50%	-	-
287	0338	SINOPEC SHANGHAI PETROCHEM-H	B	70%	-	-
288	1099	SINOPHARM GROUP CO-H	A	90%	-	-
289	0598	SINOTRANS LIMITED-H	B	70%	-	-
290	0368	SINOTRANS SHIPPING LTD	C	50%	-	-
291	3808	SINOTRUK HONG KONG LTD	C	50%	-	-
292	0880	SJM HOLDINGS LTD	B	70%	-	-
293	0751	SKYWORTH DIGITAL HLDGS LTD	B	70%	-	-
294	0315	SMARTONE TELECOMMUNICATIONS	B	70%	-	-
295	0410	SOHO CHINA LTD	B	70%	-	-
296	0878	SOUNDWILL HOLDINGS LTD	C	50%	-	-
297	2840	SPDR GOLD SHARES	C	50%	-	-
298	2005	SSY GROUP LTD	C	50%	-	-
299	2888	STANDARD CHARTERED PLC	B	70%	-	-
300	6808	SUN ART RETAIL GROUP LTD	B	70%	-	-
301	0086	SUN HUNG KAI & CO LTD	C	50%	-	-
302	0016	SUN HUNG KAI PROPERTIES	A	90%	-	-
303	2382	SUNNY OPTICAL TECH	B	70%	-	-
304	0019	SWIRE PACIFIC LTD - CL A	A	90%	-	-
305	0087	SWIRE PACIFIC LTD-CL B	B	70%	-	-
306	1972	SWIRE PROPERTIES LTD	A	90%	-	-
307	0088	TAI CHEUNG HOLDINGS	C	50%	-	-
308	0669	TECHTRONIC INDUSTRIES CO LTD	A	90%	-	-
309	0511	TELEVISION BROADCASTS LTD	B	70%	-	-
310	0700	TENCENT HOLDINGS LTD	A	90%	-	-
311	2678	TEXHONG TEXTILE GROUP LTD	C	50%	-	-
312	0321	TEXWINCA HOLDINGS LTD	C	50%	-	-
313	3933	THE UNITED LABORATORIES INTE	C	50%	-	-
314	1065	TIANJIN CAPITAL ENVIRON-H	C	50%	-	-
315	0882	TIANJIN DEVELOPMENT HLDGS LT	C	50%	-	-
316	0819	TIANNENG POWER INTL LTD	C	50%	-	-
317	0322	TINGYI (CAYMAN ISLN) HLDG CO	B	70%	-	-
318	1666	TONG REN TANG TECHNOLOGIES-H	C	50%	-	-
319	0698	TONGDA GROUP HOLDINGS LTD	C	50%	-	-
320	1083	TOWNGAS CHINA CO LTD	B	70%	-	-

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(HK MARKET)**

	<b>Code</b>	<b>Security Name (Sorted by Alphabetical Order)</b>	<b>Group</b>	<b>Valuation Ratio</b>	<b>Price Cap</b>	<b>Marginable Value Cap Per Client</b>
321	2800	TRACKER FUND OF HONG KONG	A	90%	-	-
322	0062	TRANSPORT INTL HLDG LTD	C	50%	-	-
323	0696	TRAVELSKY TECHNOLOGY LTD-H	A	90%	-	-
324	0732	TRULY INTERNATIONAL HOLDINGS	C	50%	-	-
325	0168	TSINGTAO BREWERY CO LTD-H	B	70%	-	-
326	0220	UNI-PRESIDENT CHINA HOLDINGS	B	70%	-	-
327	2666	UNIVERSAL MEDICAL FINANCIAL	C	50%	-	-
328	0806	VALUE PARTNERS GROUP LTD	B	70%	-	-
329	3331	VINDA INTERNATIONAL HOLDINGS	C	50%	-	-
330	0345	VITASOY INTL HOLDINGS LTD	B	70%	-	-
331	0303	VTECH HOLDINGS LTD	B	70%	-	-
332	0151	WANT WANT CHINA HOLDINGS LTD	A	90%	-	-
333	3393	WASION GROUP HOLDINGS LTD	C	50%	-	-
334	2338	WEICHAJ POWER CO LTD-H	B	70%	-	-
335	0288	WH GROUP LTD	B	70%	-	-
336	0004	WHARF HOLDINGS LTD	A	90%	-	-
337	1997	WHARF REAL ESTATE INVESTMENT	A	90%	-	-
338	0020	WHEELOCK & CO LTD	A	90%	-	-
339	1128	WYNN MACAU LTD	B	70%	-	-
340	1899	XINGDA INT'L HOLDINGS	C	50%	-	-
341	0811	XINHUA WINSHARE PUBLISHING-H	C	50%	-	-
342	2208	XINJIANG GOLDWIND SCI&TEC-H	B	70%	-	-
343	0868	XINYI GLASS HOLDINGS LTD	B	70%	-	-
344	0968	XINYI SOLAR HOLDINGS LTD	B	70%	-	-
345	1368	XTEP INTERNATIONAL HOLDINGS	C	50%	-	-
346	6869	YANGTZE OPTICAL FIBRE AND-H	C	50%	-	-
347	1171	YANZHOU COAL MINING CO-H	B	70%	-	-
348	0551	YUE YUEN INDUSTRIAL HLDG	A	90%	-	-
349	0123	YUEXIU PROPERTY CO LTD	B	70%	-	-
350	0405	YUEXIU REAL ESTATE INVESTMEN	C	50%	-	-
351	1052	YUEXIU TRANSPORT INFRASTRUCT	C	50%	-	-
352	1628	YUZHOU PROPERTIES CO	C	50%	-	-
353	1818	ZHAOJIN MINING INDUSTRY - H	B	70%	-	-
354	0576	ZHEJIANG EXPRESSWAY CO-H	B	70%	-	-
355	0881	ZHONGSHENG GROUP HOLDINGS	B	70%	-	-
356	3898	ZHUZHOU CRRC TIMES ELECTRI-H	A	90%	-	-
357	2899	ZIJIN MINING GROUP CO LTD-H	B	70%	-	-
358	1157	ZOOMLION HEAVY INDUSTRY - H	C	50%	-	-
359	0763	ZTE CORP-H	B	70%	-	-

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